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- C. Maintenance of equipment.
- D. Transportation.
- E. General administrative expenses.
- F. Deadheading, taxi, and hotel costs.
- G. Overhead movement costs.
- H. Freight car costs.
- I. Taxes.
- J. Administrative fee.
- K. Casualty reserve account.
- L. Termination costs.
- 5. Off-branch costs (ratio times line 1).
- 6. Management fee.
- 7. Total avoidable cost estimate (lines 4, 5, and 6).

RETURN ON VALUE ESTIMATE

- 8. Valuation of property.
- 9. Rate of return.
- 10. Total return on value (line 8 times line 9).

ESTIMATED SUBSIDY PAYMENT

- 11. Estimated subsidy payment (line 3 minus lines 7 and 10).
- 12. Preexisting subsidy agreement payments included in line 2 above.

[43 FR 1692, Jan. 11, 1978, as amended at 43 FR 30065, July 13, 1978. Redesignated at 47 FR 49582, Nov. 1, 1982]

APPENDIX II—FORMAT FOR FINANCIAL STATUS REPORTS

The following information is required to be furnished under §1155.4(b). All data shall be developed in accordance with the methodology set forth in §§1155.6, 1155.7, and 1155.9. The actual data for the year to date and a projection to the end of the subsidy year shall be shown for each item, except that off-branch costs shall be estimated during the subsidy year by applying the ratio developed in the interim formula under §1155.3(d) to the actual revenues shown in item 1.

REVENUES

- 1. Freight revenues.
- 2. All other revenues and income.
- 3. Total revenues (line 1 plus line 2).

AVOIDABLE COST

- 4. On-branch costs (lines 4A through 4L):
 - A. Maintenance of way and structures.
 - B. Rehabilitation.
 - C. Maintenance of equipment.
 - D. Transportation.
 - E. General administrative expenses.
 - F. Deadheading, taxi, and hotel costs.
 - G. Overhead movement costs.
 - H. Freight car costs.
 - I. Taxes.
 - J. Administrative fee.
 - K. Casualty reserve account.
 - L. Termination costs.
- 5. Off-branch costs.

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- 6. Management fee.
- 7. Total avoidable cost (lines 4, 5, and 6).

RETURN ON VALUE

- 8. Valuation of property.
- 9. Rate of return.
- 10. Total return of value (line 8 times line 9).

SUBSIDY PAYMENT

- 11. Subsidy payment (line 3 minus lines 7 and 10).
- 12. Preexisting subsidy agreement payments included in line 2 above.

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PARTS 1156–1176 [RESERVED]

PARTS 1177–1199—FINANCE PROCEDURES

PARTS 1177–1179—SECURITIES, SECURITY INTERESTS AND FINANCIAL STRUCTURES

PART 1177—RECORDATION OF DOCUMENTS

Sec.

- 1177.1 Definitions and classifications of documents.
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- 1177.3 Requirements for submission.
- 1177.4 Sample forms.
- 1177.5 Administrative procedure.

AUTHORITY: 49 U.S.C. 721, 11301.

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§1177.1 Definitions and classifications of documents.

(a) A “primary document” is a mortgage (excluding those under the Ship Mortgage Act of 1920, as amended—46 U.S.C. et seq.), lease, equipment trust agreement, conditional sales agreement, assignment of a lease or leases which have not previously been filed, or other instrument evidencing the mortgage, lease, conditional sale, or bailment of one or more vessels operated subject to Surface Transportation Board jurisdiction, railroad cars, locomotives, or other rolling stock for a use related to interstate commerce.